PTO/SB/96 (04-07)

Approved for use through 09/30/2007, OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: Regents of the University of Minnesota Application No./Patent No.: 10/536,716 Filed/Issue Date: May 30, 2006 Entitled: Homologous Recombination of Adult Progenitor Cells Regents of the University of Minnesota , a corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) (Name of Assignee) states that it is: 1. The assignee of the entire right, title, and interest: or 2. an assignee of less than the entire right, title and interest (The extent (by percentage) of its ownership interest is\_\_\_\_ in the patent application/patent identified above by virtue of either: A An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 017374 , Frame 0368 , or for which a copy thereof is attached. B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows: To: 1. From: The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. To: The document was recorded in the United States Patent and Trademark Office at , or for which a copy thereof is attached. Reel \_\_\_\_\_, Frame \_\_\_ The document was recorded in the United States Patent and Trademark Office at \_\_\_\_\_, Frame \_\_\_ \_\_\_\_, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet. As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11. INOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.081 se tips is supplied below) is authorized to act on behalf of the assignee. Date Signature Michael F. Moore 612-624-0550 Printed or Typed Name Telephone Number Compliance Manager of The Regents of the University of Minnesota

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to

complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the solution case. Any commands on the amount of time your require to complete this form and/or suggestion for reducing this busden, should be sent to the Crite Information Only. Return and Trademark Office, U.S. Peatherin and Tourneeue, P.O. Box 1450, Alexandria, V.A. 2231514450, D.O. MOT SEND TESTS OR COMPLETED. FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.